Docket No. 12969-1

#5 6-11-01

<u>INITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant: Bitler et al.

Group Art Unit: 1713

Serial No.: 09/810,920

Examiner: not yet known

Filing Date: 03/16/2001

Title: Polymeric Thickeners for Oil-Containing Compositions

Continuation-in-Part of Serial No. 09/398,377, filed September 17, 1999

(Group Art Unit 1714, Examiner Szekely)

Assistant Commissioner for Patents Washington, DC 20231

DISCLOSURE UNDER 37 CFR 1.56

Sir:

In fulfilling the duty of candor and good faith, the documents listed on the attached PTO-1449 (two copies) are disclosed to the Office in accordance with 37 CFR 1.56. It is not admitted that the information in the listed documents is material to patentability as defined in 37 CFR 1.56(b). The Examiner is asked to consider these documents and to return an initialled and signed copy of the PTO-1449 after doing so.

Each of the listed documents was previously submitted to the Office in an Information Disclosure Statement which

- (i) was submitted in prior application Serial No. 09/398,377, which is relied on in this application for an earlier filing date under 35 USC 120, and
- (ii) complies with paragraphs (a) through (c) of 37 CFR 1.98.

Copies of the listed documents are not, therefore, being supplied because 37 CFR 1.98(d) states that, under these circumstances, such copies need not be provided.

Applicant believes that because this Disclosure Statement is being submitted before the first Office Action on the merits, no fee is due. If this is incorrect, please charge any necessary fee for consideration of this Disclosure Statement to Deposit Account No. 19-2090 (Sheldon &

It is believed that this Disclosure complies with the requirements of 37 CFR 1.56 and the MPEP. If the some reason the Examiner thinks otherwise, he is asked to call the undersigned so that any deficiencies can remedied.

Respectfully submitted:

Timothy H. P. Richardson

Reg. No. 28,805

Tel. No. (650) 854-6304

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 Typed name of person signing this certificate: T. H. P. Richardson On June 1, 2001

Signature

JUN 0 4 2001 Torney Docket No.

TRANSTITAL FORM AND FEE TRANSTITAL

12969-1 First Named Inventor (a):
09/810,920 Filing Date:
Not Yet Known Group Art Unit:

Bitler 03/16/2001

1713

(a) Where an inventor is not named, alphanumeric identifier provided in lieu thereof.

This Transmittal Sheet is accompanied by

Information Disclosure Statement (with PTO 1449 in duplicate) and an Acknowledgement Postcard

Fee Calculations

It is believed that no fee is due

		A _l	pplicable Fee	Fee Paid
(1)	Filing fee		710/355	(\$)

(2) Extra claim fees

Claims in specification as filed

	No. in Specifica- tion or after Amendment	No. Included in Filing Fee or Pre- viously Paid For	No. Extra (b)	Applicable Fee	Fee Paid
Total Claims		- 20 =		x 18/9 (ea)	
Independent Claims		-3 =		x 80/40 (ea)	
Multiple dependent claim(s) presented for first time (c)		No	2 70/135		
Subtotal (2) Extra claim fees					(\$)

(b) If less than zero, enter "0."

(c) Pay fee if "yes" entered.

(3) Additional or other fees

		Fee Paid
Extension for Reply (1, 2, 3, 4 months: \$110/55, 390/195, 890/445, 1390/695)	month	
Notice of Appeal (310/155)		
Petition to Commissioner (\$130)		
Information Disclosure Statement (\$180)		
Subtotal (3) Addition	onal or other fees	

Total Fees

Total Fees Submitted (Sum of Subtotals (1), (2), and (3))

Authorization to Charge Deposit Account for Fees

The Assistant Commissioner for Patents is hereby authorized to charge any fees required under 37 CFR §§ 1.16 and 1.17 and credit any overpayments to, the following deposit account.

Deposit Account No: 19-2090

Deposit Account Name: Sheldon & Mak

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on the date indicated below:

Date of deposit:

June 1, 2001

Name (printed):

T. H. P. Richardson

Signature:

Respectfully Submitted,

Name

T. H. P. Richardson

Tel. No.

650-854-6304

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Address

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